

County Administrator

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David J. Twa
County Administrator

Contra Costa County



Board of Supervisors

John M. Gioia
1st District

Candace Andersen
2nd District

Diane Burgis
3rd District

Karen Mitchoff
4th District

Federal D. Glover
5th District

February 21, 2019

Independent Registered Municipal Advisor (IRMA) Exemption Disclosure

By publicly posting the following written disclosure, Contra Costa County, together with all entities governed by the Contra Costa County Board of Supervisors (including the Public Financing Authority) (collectively, the "County"), intends that municipal market participants receive and use this disclosure for the purpose of using the independent registered municipal advisor (IRMA) exemption to the SEC Municipal Advisor Rule mandated by Sec. 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act.

The County retains independent registered municipal advisors in connection with its debt issuance and management programs, including municipal financial products (such as investment of bond proceeds and escrow investments). To know which independent registered municipal advisor is retained for a particular type of municipal security, please contact the County Finance Director at (925) 335-1023. With regard to potential issuances of municipal securities, the County will rely on its independent registered municipal advisor to provide advice on financing proposals from financial services firms, including, but not limited to, underwriters and commercial banks.

The above written disclosure may be relied upon until June 30, 2020. Financing proposals may be addressed to Contra Costa County at 651 Pine Street, 10th Floor, Martinez, California 94553, Attention: County Finance Director. If a proposal will be seriously considered by the County, the County will share the proposal with its independent registered municipal advisor. Please note that aside from correspondence between a financial services firm and a municipal advisor mandated pursuant to regulations, the financial services firm should not speak directly with or send documents regarding a financing proposal directly to the independent registered municipal advisor unless specifically directed to do so by the County.